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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/582,486	
	Filing Date	December 24, 1998	
	First Named Inventor	Christopher J. Schofield	
	Art Unit	1631	
	Examiner Name	M. Borin	
Total Number of Pages in This Submission	50	Attorney Docket Number	HO-P02005US0

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

TECH CENTER 1600/2900

AUG 20 2003

RECEIVED

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULBRIGHT & JAWORSKI L.L.P. Gino Catena
Signature	
Date	August 15, 2003

Transmittal	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575268752US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: August 15, 2003	Signature: (Pamela Tincha)



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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 110.00

Complete if Known

Application Number 09/582,486
Filing Date December 24, 1998
First Named Inventor Christopher J. Schofield
Examiner Name M. Borin
Art Unit 1631
Attorney Docket No. HO-P02005US0

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit
Account
Number

06-2375

Deposit
Account
Name

Fulbright & Jaworski L.L.P.

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims ** = x =
Independent Claims ** = x =
Multiple Dependent =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 110.00

SUBMITTED BY

Name (Print/Type) Gino Catena

Signature

Gino Catena

Registration No.
(Attorney/Agent)

45,546

Complete (if applicable)

Telephone (713) 651-5144

Date

August 15, 2003

Fee Transmittal

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Dated: August 15, 2003

Signature:

Pamela Tincha

(Pamela Tincha)

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AUG 20 2003



The stamp of the PATENT OFFICE, placed hereon, acknowledges receipt of:

Applicant C. J. Schofield et al.

By Linda Bourg / M. Schwaller

Serial No. To be assigned

Atty Docket # P02005US0

Deposit/Mail Date 6/23/2000

Client # _____

- ☐ Amendment
- ☐ Amendment after Final
- ☐ Appeal Brief, 0 Copies
- ☐ Application for Patent including
- ☒ 0 Pgs Spec., 0 Claims
- ☐ Assignment
- ☐ Assignment Cvr Page (Form PTO-1595)
- ☒ Cert. Of Exp. Mail under 37 CFR § 1.10
- ☒ Express Mail No. EK102712205US
- ☐ Cert. Of Mailing under 37 CFR § 1.8(a)
- ☐ Check for \$ _____
- ☒ Deposit Account No. 06-02375 for \$ 5,566.00
- ☐ Declaration
- ☒ Drawings 2 Sheets,
- ☐ 0 formal; 2 Informal
- ☐ Extension of Time
- ☒ Other Seq. Listing and Diskette; copy of PCT Demand

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449, 15 References
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☒ Postcard
- ☒ Power of Attorney by Assignee (unsigned)
- ☐ Power of Attorney by Inventor(s)
- ☒ Preliminary Amendment
- ☐ Priority Document
- ☐ Req. for Filing [Cont.] [CIP] [Div. App.]
- ☐ [New App.] under 37 CFR § 1.53(b)
- ☐ Req. for Filing CPA under CFR § 1.53(d)
- ☐ Submission of Missing Parts
- ☒ Transmittal Letter re Filing Under 35 USC 371
- ☐ Verified Statement to Establish Small Entity

526 Rec'd PCT/PTO 23 JUN 2000

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